VestmarkONE®

Advanced Trading & Rebalancing

The Trading & Rebalancing Platform You'll Never Outgrow

Tax-aware, efficient trading and rebalancing brings scale and flexibility to advisors and home office managed programs, empowering a focus on building business, managing portfolios and creating better outcomes for clients. Integrated with Vestmark's advanced modeling and portfolio construction capabilities, the powerful trading and rebalancing tools enable efficient customization and management of client accounts without detracting from automated workflows.

Key Features

Tactical trading, rebalancing, overlay portfolio management, cash management, efficiently managing custom portfolios, options overlay strategies, and more can all be accomplished at scale in a tax-aware manner.

Simple Quick Trade & Block Trading for Tactical Moves & Cash Management

The VestmarkONE platform's trade generation wizard enables quick individual or block trading to support tactical moves in individual securities—across one or many accounts. With custom cash settings, you can easily maintain cash allocations according to each client's needs, while following a model and account restrictions, enhancing tax sensitivity, and still adhering to an automated workflow.

Rebalance Across Thousands of Accounts in Minutes

Rebalance accounts to their models while incorporating optimal tax lot selection, trade minimization, client custom account settings, and compliance rules. Configurable alerts prompt review of accounts based on your pre-set criteria. Customizable rules-based rebalancer enables you to rebalance across thousands of accounts in minutes, across models, programs or your entire book of business and provides concise transparency about the nature of each trade.

With a few simple clicks you can quickly and easily generate trades for a single—or group of many—accounts in a rebalancing session, to raise cash, execute a block trade, or initiate a tax harvest.

Key Features *cont.*

Automated Workflow to Manage Bespoke Portfolios

Custom tailored portfolios can be managed and rebalanced in a highly efficient and scalable way. Client restrictions, tilts, asset allocation changes, investment vehicle swaps, custom cash allocations or tax treatments, specific trade settings or drift tolerances, and more, are all easily and systematically accomplished as part of an automated workflow.

Tax Aware Trading to Enhance Tax Sensitivity

Flag accounts to be managed as tax aware and the system will automatically select ideal tax lots when trading and rebalancing to enhance tax impact. UMA trading and rebalancing optimizes lot selection and nets trades across sleeves. What-if trading functionality can be used to model tax impact scenarios prior to placing trades.

Exception-based Workflow to Create Efficiencies

The VestmarkONE platform's graphical visualization identifies and displays accounts needing attention based on your rules and tolerances. Alerts can be built on a range of attributes including high and low cash, position and asset allocation drift, tax harvest buy-backs, etc., and accounts can be filtered on a wide range of values. This approach proactively alerts the home office and advisors when client accounts need attention, allowing improved practice efficiency and greater compliance oversight.

Tax Harvesting to Help Clients Manage Overall Tax Burden

Apply different tax loss harvesting strategies at the tax lot, security, or account levels. Wash sale avoidance and reinvestment of proceeds (or buy-back in case of gain harvesting) can be automated.

Flexible Support for Overlay Management

Whether your home office or your advisors are active, passive or hybrid overlay, the VestmarkONE platform seamlessly facilitates trading and rebalancing at the overlay and sleeve level, and supports multiple discretionary parties in a single account.

Options Strategies Can be Managed & Traded at Scale

The VestmarkONE platform enables scalable block trading of options, allowing you to create compliance rules, alerts, and trading stops to help manage the risk at the account or program level. Hold options in client accounts alongside models without complicating your rebalancing process due to false drift or unnecessary alerts.

Gain Immediate Trading Scale by Outsourcing to Vestmark

Whether advisors manage their own models or use home office or third party models, Vestmark's Model Trading Service™ (MTS) offers a scalable outsourcing option for model trading, rebalancing and account administration. Resulting efficiency and productivity gains can generate cost savings and allow advisors and wealth management firms to rebalance their focus.

The Platform of the Future: More Efficient Advisors & Better Client Outcomes

The VestmarkONE platform's tax-aware trading and rebalancing tools make it easy and efficient to manage custom portfolios across hundreds—or even thousands—of clients with different goals, risk tolerances, investment objectives, and preferences. This can enable advisors to create better client outcomes. From simple to complex, we can support the way your advisors manage portfolios today and enable your firm to manage the portfolio solutions of the future.

Questions?

To learn more about how the VestmarkONE platform can help your firm take portfolio management, trading and rebalancing to the next level, visit **www.vestmark.com**

To schedule a demo, send an e-mail to **inquiry@vestmark.com** or call **781-224-3640**