

You've built your SMA strategies, but are you efficiently implementing and distributing them?



You can do it one of two ways.

Complex

OR

Simple

STEP 1

Plan for the right TECHNOLOGY

- Collaborate with IT
- Fight for **budget**
- Argue over **scope** and **scale**
- Hire a **consultant**
- Develop a **project plan**
- Go through **implementation**
- **Test, revise** and **test** again
- Run, maintain and **update** tech as needed

STEP 2

Design or augment your OPERATIONS

- Create or update **process** documentations
- Implement or revise **controls**
- Ensure your process **scales**

STEP 3

Hire the right PEOPLE

- Work with HR
- Craft **job descriptions**
- **Search** for candidates
- Conduct **interviews**
- **Onboard** new hires

STEP 4

Provide adequate TRAINING

- Develop **training program**
- Hire or train **trainer**
- Conduct **training** for all staff
- **Measure** performance
- **Revise** and **redesign**

STEP 5

Create COMPLIANCE oversight framework

- Work with **legal**
- **Analyze** documents and workflows
- Establish **protocols**
- **Document** rules/procedures
- Implement **surveillance**
- Address **exceptions**
- Update with **changing regulatory** landscape

STEP 6

DISTRIBUTE and manage your strategies

- Establish or expand **connectivity** to sponsor platforms
- Manage investor accounts across dozens of platforms and **multiple system logins**
- **Place trades** in multiple systems
- **Reconcile** to multiple custodians
- Resolve **exceptions** with multiple sponsor platforms /custody firms
- Calculate **performance** and manage composites



STEP 1

Call Vestmark at (781) 224-3640



STEP 2

FOCUS your time managing assets, creating new strategies, & growing your business

PAIN OR GAIN

One path is slow and burdensome. The other is fast and focused. Vestmark has the talent, relationships, and technology already in place for success. Make the complex simple: make one call to Vestmark.

Call us at (781) 224-3640
or visit www.vestmark.com.

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