Vestmark®

VESTMARKONE®

Modeling & Portfolio Construction

Deliver Personalized Investing at Scale Across All Client Segments

Intuitive ‘building blocks’ portfolio construction framework, ability to support all managed account program types, and powerful rebalancing tools make it easy to manage and customize an entire book of business in a scalable way. This drives advisor and home office efficiencies, and enables personalization and efficient tax management across all client segments.

MUTUAL FUNDS & ETFS	EQUITIES & BONDS	SMA MODELS OR MGRS	SMA MODELS OR MGRS
MUTUAL FUNDS & ETFS	MUTUAL FUNDS & ETFS	EQUITIES & BONDS	SMA MODELS OR MGRS
MUTUAL FUNDS & ETFS	MUTUAL FUNDS & ETFS	MUTUAL FUNDS & ETFS	EQUITIES & BONDS
MUTUAL FUNDS & ETFS	MUTUAL FUNDS & ETFS	MUTUAL FUNDS & ETFS	MUTUAL FUNDS & ETFS
CASH	CASH	CASH	CASH

INCREASING CLIENT WEALTH, CHANGING GOALS, MORE SOPHISTICATED SOLUTIONS NEEDED

Advisors can seamlessly create customized portfolio solutions that can evolve throughout the client lifecycle **within the same account**, enabling a streamlined, consistent client experience.

KEY BENEFITS

With VestmarkONE, you can create efficiencies for your business, give time back to your advisors, provide them the ability to deliver client-centric advice, all while also balancing enterprise risk management.

Realize the Advisor/Client Experience Benefit of Tech Consolidation

Streamline your programs or enable the business you have today in a very efficient way. From Rep as PM to Mutual Fund/ETF wrap to UMA and SMA, including fixed income managers who trade away. This creates the opportunity to manage the entire evolution of a client’s relationship life-cycle through all stages of wealth and complexity in a single account without additional account opening paperwork, processing, losing performance history, eliminating work for the advisor and confusion for clients.

Enable Advisor and Home Office Teams to Save Time Managing Personalized Portfolios in an Automated Way

Enable the construction of tailored portfolios while retaining the ability to manage and adjust them as needed in a highly efficient and scalable way. Client restrictions, tilts, asset allocation changes, investment vehicle swaps, custom cash allocations or individual tax treatments, specific trade settings or drift tolerances, and more, are all accomplished easily and systematically in a way that will not detract from an automated workflow to rebalance or tax harvest large groups of accounts. Forrester found that there was significant advisor time savings – as much as 25% - from the deployment of the platform*

KEY BENEFITS, *CONT.*

Simplify Model Management

Changing asset allocations, adding or changing managers, swapping underlying securities, pre-selecting a substitute security, adjusting cash allocations, etc., can be accomplished with an efficient and simple workflow, even for complex models of models. Approval steps can be customized for your organization.

Expand Access to Third Party SMA Managers and Index-Based Strategies

Your advisors can leverage hundreds of third-party managers and index-based strategies available through our fully open architecture Vestmark Manager Marketplace (VMM), accessible directly from the VestmarkONE platform. The advantage of accessing models through VMM is that we handle the contract and billing and also offer access to research data via our online portal and consolidated library of content.

Gain the Scale to Support your Future Growth

The VestmarkONE platform supports 6 of the 10 largest managed account sponsor firms. Rebalance hundreds, thousands or even hundreds of thousands of accounts in minutes. Auto-recon helps to ensure accounts are ready to trade before market open the next day (one client is able to rebalance more than 110 million taxlots in 3 hours).

Balance Advisor Flexibility with Enterprise Risk Management

Access to models, third party managers and strategies, specific lists of funds and securities, governing the degree to which advisors can customize or allow client portfolios to drift, and more, can be controlled by advisor segment. VestmarkONE lets you turn the dials as needed to enforce portfolio construction, investment selection, trading, compliance, and other workflow- related guidelines while providing degrees of flexibility to your advisors. These controls allow you to tailor risk management

Flexible Discretion allows you to create a differentiated investment platform that appeals to all types of advisor business practices and allows you to recruit across all channels and advisor business approaches.

VestmarkONE's flexible portfolio management solution can accommodate a range of discretion models – from fully advisor managed and traded, to home office-centrally managed, UMAs with multiple discretionary parties, or advisors keeping portfolio construction and management construction discretion and outsourcing trading and rebalancing – and everything in between, all within the same program if you choose. Vestmark Advisory Solutions, our RIA, can also provide outsourced overlay portfolio management services – tax managed or not – as well as tax transition services.

Questions?

To learn more about how VestmarkONE can help your firm take portfolio management, trading and rebalancing to the next level, visit **www.vestmark.com.**

To schedule a demo, send an e-mail to **inquiry@vestmark.com** or call **781-224-3640**.

* Source: The Total Economic Impact™ of VestmarkONE®, A Forrester Consulting Study Commissioned by Vestmark, 2022

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